

Research Funding Services Brown Bag

January 29, 2014
11:45 am – 12:45 pm
Hiatt Auditorium (S1-608)



 University of
Massachusetts
Medical School
umassmed.edu

Agenda

- NIH Update
 - NIH Notice NOT-OD-14-043 (Salary Cap & Continuing Resolution)
 - RPPR Update
 - ASSIST Update
 - Biosketches/Other Support Training
 - Revised Grants Policy Statement (10/2013)
- Federal Omni Circular – Final Rule published 12/26/13
- RFS Forms/Tools Update
 - Revision to budgeting spreadsheet (tuition/fees)
 - MTDC and TDC Bases
- Upcoming Cayuse Webinars
- Research Administration Training Program Upcoming Courses
- Proposal & Progress Report Statistics

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NIH Salary Cap/Continuing Resolution

- NOT-OD-14-043 released January 16, 2014 notifies the research community that NIH is operating under a continuing resolution and that the salary cap has been increased.
- CONTINUING RESOLUTION
 - Consistent with last year, NIH will continue to issue non-competing research grant awards at a level below that indicated on the most recent NOA (generally up to 90% of the previously committed level). Adjustments will be considered after the FY2014 appropriation is enacted.
- SALARY CAP
 - Executive Level II of the Federal Pay Scale was increased by 1 percent from **\$179,700 to \$181,500** by Executive Order 13655 **effective January 12, 2014**. Please use the new salary cap figure when submitting proposals to PHS sponsors.

<http://grants.nih.gov/grants/guide/notice-files/NOT-OD-14-043.html>



Research Performance Progress Report Update

NIH Deployment Timeline

SNAP and F RPPR - Required



July 2013

Multi-Year Funded RPPR - Required



February 2014
(anticipated)

Non-SNAP RPPR - Required



October 2014



NIH ASSIST – Transition Timeline

- November 2012
ASSIST launched
- September 25, 2013
Activity codes P01, P20, P50, U19, R24, U24 must be submitted through ASSIST.
- January 25, 2014
Activity codes G12, P30, P40, P41, P42, P51, P60, R28, S06, U10, U41, U42, U45, U56, UC7 must be submitted through ASSIST.
- May 25, 2014:
Activity codes: U54, UM1 must be submitted through ASSIST.

RFS Offering Biosketch & Other Support Training for Faculty & Staff

- RFS to schedule open session in March.
- RFS is also willing to provide this training for faculty and staff in individual departments. Please contact Janice Lagace at 6-8980 or via email at janice.lagace@umassmed.edu if you would like to schedule a session for your department.

TOPICS

- Biosketches
 - What is a biosketch and how does it differ from a Curriculum Vitae or CV?
 - Components of the biosketch
 - When do you use the biosketch?
 - Who must submit a biosketch?
- Other Support
 - Do's and Don't when submitting other support
 - Treatment for NIH 398
 - Treatment for PHS 2590/RPPR

Revised NIH Grants Policy Statement (NIHGPS) Released October 2013

- This revision supersedes, in its entirety, the NIH Grants Policy Statement (10/2012) as a standard term and condition of award.
- Please note the October 2012 NIHGPS continues to be the standard terms and conditions for all NIH grants and cooperative agreements with budget periods that began between October 1, 2012 and September 30, 2013.
- Updated Administrative Requirements
 - Incorporated language implementing the RPPR and requiring for SNAP and fellowship awards.
 - Expectation for institutions to develop individual development plans for graduate students and postdoctoral researchers.
- Revised NIHGPS available at:
 - http://grants.nih.gov/grants/policy/nihgps_2013/

Federal Omni-Circular (OMB A-81 / 2 CFR 200) Final Guidance Published 12/26/13

- The Omni-Circular combines the 8 existing OMB circulars (e.g., A-21, A-110, A-133) into one comprehensive and streamlined circular.
- Federal agencies must implement the policies and procedures applicable to Federal awards by promulgating a regulation to be effective by December 26, 2014 unless different provisions are required by statute or approved by OMB.
- COGR, FDP and member institutions are reviewing the final guidance to determine how to prepare for implementation.

<https://www.federalregister.gov/articles/2013/12/26/2013-30465/uniform-administrative-requirements-cost-principles-and-audit-requirements-for-federal-awards>

UMMS Forms Update

• Revision to Budgeting Spreadsheet

- Per Grants Accounting, the fees component of UMMS tuition and fees are not excluded from the MTDC base.
- Budgeting spreadsheet tool has been revised to remove the term tuition and replace it with Student Fees to reflect this.
- Budgeting tool has also been revised to add the TDC base as a selection option.
- The revised budgeting spreadsheet is available on RFS Forms website at: <http://www.umassmed.edu/research/funding/rfsform/>

PREVIOUS TERM				REVISED TERM			
65	HUMAN SUBJECT STIPENDS		\$ -	65	HUMAN SUBJECT STIPENDS		\$ -
66			\$ -	66			\$ -
67	TUITION & FEES		\$ -	67	STUDENT FEES		\$ -
68			\$ -	68			\$ -
69	EQUIPMENT: (Exclude from MTDC Base)		\$ -	69	EQUIPMENT: (Exclude from MTDC Base)		\$ -
70			\$ -	70			\$ -

ADDITION OF TDC BASE AS A SELECTION OPTION - Row 91

(TDC - Equipment - A&R -Patient Care - (Subs in excess of \$25,000 each) - Space Rental) = MTDC BASE

SELECT BASE FROM DROPDOWN MENU - (MODIFIED TOTALDIRECT COSTS (MTDC) OR TOTAL DIRECT COSTS (TDC)

IDC Base - MTDC	No. of Mos.	Yr 1	AMT	0	INDIRECT COSTS (F&A Rate)
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Upcoming Cayuse Webinars

- Getting Started with Cayuse 424 - This webinar covers the fundamentals of the Cayuse 424 software, including navigation, retrieving opportunities, and starting a new proposal. New users will find this information useful.
 - **Tuesday, February 11th @ 1:00 PM Pacific Time (4:00 PM Eastern Time)**
- Subawards in Cayuse 424 - This webinar covers how to prepare and import subawards in Cayuse 424 and how to prepare and export subawards from Subawards.com. New users will find the information useful.
 - **Wednesday, February 12th @ 1:00 PM Pacific Time (4:00 PM Eastern Time)**
- Budgeting FAQs in Cayuse 424 - This webinar covers the five most Frequently Asked Questions about budgeting in Cayuse 424. New and experienced users alike will find this information useful.
 - **Thursday, February 13th @ 1:00 PM Pacific Time (4:00 PM Eastern Time)**

Register at: <http://evisions.com/Services/WebBasedTraining.aspx>

Research Administration Training Program Upcoming Courses - 2014

- Pre-Award I (Core)
03/06/14 8:30 – 12:30 Location – HR Training Room, South St.
- Clinical Research (Elective)
03/13/14 9:00 – 11:00 Location – Lazare Auditorium, S1-607
- Post-Award I (Core)
03/25/14 8:30 – 12:30 Location – HR Training Room, South St.
- NIH: “A Closer Look” (Elective)
04/02/14 9:00 – 11:00 Location – University Campus, S2-351

To register go to:

<http://i.umassmed.edu/Inside/registration/Register.aspx?pid=77>



PROPOSAL SUBMISSIONS TO RFS December 2012 – December 2013

	December 2012	January 2013	February 2013	March 2013	April 2013	May 2013	June 2013	July 2013	August 2013	September 2013	October 2013	November 2013	December 2013
Count	75	139	111	93	56	82	99	100	70	91	110	86	74
On Time	43%	52%	40%	32%	32%	56%	48%	44%	34%	54%	42%	37%	47%
Late	48%	47%	55%	59%	59%	39%	47%	48%	57%	42%	53%	58%	49%
After the fact	9%	1%	5%	9%	9%	5%	4%	8%	6%	4%	5%	5%	4%
Withdrawn	0%	0%	0%	0%	0%	0%	1%	0%	3%	0%	0%	0%	0%
Total	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
Expedited Request (3 days or less)	35%	32%	38%	41%	39%	26%	30%	36%	37%	28%	33%	36%	30%

On Time: Received by RFS 5 days prior to the requested return date.

Late: Received by RFS less than 5 days prior to the requested return date.

After the Fact: Received by RFS after the requested return date.

Expedited Request: Received by RFS with 3 days or less to review before requested return date.



SUBMISSIONS TO RFS

December 2012 to December 2013 Comparison

PROPOSALS	2012	2013	Change
Count	75	74	-1
On Time	43%	47%	+3
Late	48%	49%	+1
After the fact	9%	4%	-5
Withdrawn	0%	0%	0
Total	100%	100%	-
Expedited Request (3 days or less)	35%	30%	-5

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PROGRESS REPORT SUBMISSIONS TO RFS

December 2012 – December 2013

	December 2012	January 2013	February 2013	March 2013	April 2013	May 2013	June 2013	July 2013	August 2013	September 2013	October 2013	November 2013	December 2013
Count	20	25	45	30	42	59	30	28	8	9	12	35	23
On Time	55%	40%	49%	50%	45%	44%	44%	32%	38%	67%	42%	43%	30%
Late	25%	48%	40%	40%	41%	49%	53%	57%	12%	33%	25%	40%	44%
After the fact	20%	12%	11%	10%	14%	7%	3%	11%	50%	0%	33%	17%	26%
Total	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%
Expedited Request (3 days or less)	10%	44%	24%	17%	31%	22%	33%	46%	12%	22%	17%	29%	35%

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SUBMISSIONS TO RFS

December 2012 to December 2013 Comparison

PROGRESS REPORTS	2012	2013	Change
Count	20	23	+3
On Time	55%	30%	-25
Late	25%	44%	+19
After the fact	20%	26%	+6
Total	100%	100%	-
Expedited Request (3 days or less)	10%	35%	+25

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NIH Operates Under a Continuing Resolution

Notice Number: NOT-OD-14-043

Key Dates

Release Date: January 16, 2014

Related Announcements

[NOT-OD-14-012](#)

Issued by

National Institutes of Health ([NIH](#))

Purpose

The Department of Health and Human Services (HHS), including NIH, operates under the Continuing Appropriations Act, 2014 ([H.J.Res. 106](#)) signed by President Obama on January 15, 2014. This Act (CR) continues government operations through January 18, 2014 at the FY 2013 post-sequestration level.

Continuing the procedures identified under [NOT-OD-14-012](#) and consistent with NIH practices during the CRs of [FY 2006 – 2013](#), the NIH will issue non-competing research grant awards at a level below that indicated on the most recent Notice of Award (generally up to 90% of the previously committed level). Upward adjustments to awarded levels will be considered after FY 2014 appropriations are enacted, but NIH expects institutions to monitor their expenditures carefully during this period. All legislative mandates that were in effect in FY 2013 (see [NOT-OD-12-034](#) and [NOT-OD-13-064](#)) remain in effect under this CR including the salary limitation set at Executive Level II of the Federal Pay Scale. It should be noted, that the Executive Level II was increased by 1 percent from \$179,700 to \$181,500 by [Executive Order 13655](#) that became effective January 12, 2014.

Inquiries

Questions regarding adjustments applied to individual grant awards may be directed to the Grants Management Specialist identified on the Notice of Award.

[Weekly TOC for this Announcement](#)

[NIH Funding Opportunities and Notices](#)

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